

jc960 U.S. PTO

10/03/01

A

UTILITY PATENT APPLICATION TRANSMITTAL

(For new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	SP01-172	Total Pages	2
First Named Inventor or Application Identifier	Kikuchi, et al		
Title	SYSTEM AND METHOD FOR FABRICATING ARRAYED OPTICAL FIBER COLLIMATORS		
Express Mail Label No.	EL689101857US		

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application
 Washington, DC 20231

1. <input checked="" type="checkbox"/> * Fee Transmittal Form <i>(Submit an original and a duplicate for fee processing)</i>	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i>	6. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
- Descriptive title of the Invention	a. <input type="checkbox"/> Computer Readable Copy
- Cross References to Related Applications	b. <input type="checkbox"/> Paper Copy (identical to computer copy)
- Statement Regarding Fed sponsored R&D	c. <input type="checkbox"/> Statement verifying identity of above copies
- Reference to Microfiche Appendix	
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings (if filed)	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113)	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
4. Oath or Declaration	8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>
a. <input type="checkbox"/> Newly executed (original or copy)	9. <input type="checkbox"/> English Translation Document (if applicable)
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <i>(for continuation/divisional with Box 16 completed)</i>	10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	11. <input type="checkbox"/> Preliminary Amendment
	12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(should be specifically itemized)</i>
	13. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
	14. <input type="checkbox"/> Other:
	15. <input type="checkbox"/>

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: 08/

Prior application information: Examiner: Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 22928 or Correspondence address below

NAME	Juliana Agon				
ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	(607) 974-6574	FAX	(607) 974-3848
Name (Print/Type)	Juliana Agon	Registration No. (Attorney/Agent)	33,468		
Signature			Date	July 3, 2001	



FEE TRANSMITTAL for FY 2000

TOTAL AMOUNT OF PAYMENT (\$) **1230.00**

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Kikuchi, Juro
Examiner Name	
Group / Art Unit	
Attorney Docket Number	SP01-172

METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number
03-3325

Deposit Account Name
Corning Incorporated

Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee	Fee	Fee Description	Fee Paid
	Code	(\\$)		
	101	710	Utility filing fee	710.00
	106	320	Design filing fee	
	107	490	Plant filing fee	
	108	710	Reissue filing fee	
	114	150	Provisional filing fee	
	SUBTOTAL (1)		(\\$)	710.00

2. EXTRA CLAIM FEES

Extra Claims	Fee from claims below	Fee Paid
Total Claims 40 - 20** = 20	x 18	= 360.00

Independent Claims	5 - 3** = 2	x 80	= 160.00
--------------------	-------------	------	----------

Multiple Dependent =

**or number previously paid, if greater; For Reissues, see below

Large Entity	Fee	Fee Description
	Code	(\\$)
	103	18 Claims in excess of 20
	102	80 Independent claims in excess of 3
	104	270 Multiple dependent claim, if not paid
	109	80 ** Reissue independent claims over original patent
	110	18 ** Reissue claims in excess of 20 and over original patent
	SUBTOTAL (2)	
	(\\$)	520.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	105	130	Surcharge - late filing fee or oath	
	127	50	Surcharge - late provisional filing fee or cover sheet	
	139	130	Non-English specification	
	147	2,520	For filing a request for reexamination	
	112	920*	Requesting publication of SIR prior to Examiner action	
	113	1,840	Requesting publication of SIR after Examiner action	
	115	110	Extension for reply within first month	
	116	390	Extension for reply within second month	
	117	890	Extension for reply within third month	
	118	1,390	Extension for reply within fourth month	
	128	1,890	Extension for reply within fifth month	
	119	310	Notice of Appeal	
	120	310	Filing a brief in support of an appeal	
	121	270	Request for oral hearing	
	138	1,510	Petition to institute a public use proceeding	
	140	110	Petition to revive - unavoidable	
	141	1,240	Petition to revive - unintentional	
	142	1,240	Utility issue fee (or reissue)	
	143	440	Design issue fee	
	144	600	Plant issue fee	
	122	130	Petitions to the Commissioner	
	123	50	Petitions related to provisional applications	
	126	240	Submission of Information Disclosure Stmt	
	581	40	Recording each patent assignment per property (times number of properties) _____ x	
	146	710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
	149	710	For each additional invention to be examined (37 C.F.R. § 1.129(b))	
	Other fee (specify) _____			
	Other fee (specify) _____			
	*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$)

SUBMITTED BY

Completed (if applicable)

Name (Print/Type)	Juliana Agon	Registration No. (Attorney/Agent)	33,468
Signature		Date	July 3, 2001

Attorney Docket No.

Filing Date:

July 3, 2001

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

Signature

"EXPRESS MAIL" Mailing Label No. FL 168910185745